Strategic Environmental and Social Assessment in the Democratic Republic of Congo

National Coordination REDD
Ministry of Environment, Nature Conservation and Tourism

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June 28th, 2010
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SESA objectives

- «Assess the potential positive and negative impacts from the REDD+ strategic options as they are contemplated by the government and its partners, and contribute to building a sound national REDD+ strategy »

- «Establish an Environmental and Social Management Framework » for the National REDD+ Strategy, allowing eventually REDD+ carbon transactions at policy, programme and project levels
  - Basis for R-PP 4b component “MRV of REDD+ co-benefits”
  - Ensure compliance with safeguards
### SESA Objectives as Related to Readiness Results Framework

#### DRC: REDD Readiness Plan (R-PP)

**RESULTS FRAMEWORK**

**Objective:** The DRC is equipped and ready to engage in the international REDD+ system

<table>
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<th>Expected results</th>
<th>Key partners</th>
<th>Progress and performance indicators</th>
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| 1. A national REDD+ strategy in the 2030 horizon is constructed in a participatory manner and ready to take off | National Committee, Inter-ministerial Committee, CN-REDD, GTCR, UNDP, WB, FAO | - A national REDD+ strategy of quality, ambitious and that represents the meeting point of the different stakeholders of REDD  
- The strategy relies on a decision tool (Reference Scenario) and on a robust Strategic Social and Environmental Evaluation (SESA)  
- An investment plan for REDD that is practical, multi-sectorial and budgeted |
| 2. An institutional framework for REDD implementation is crafted and ready in 2013 on an interim basis | National Committee, MECNT, CN-REDD, WB, UNEP, UNDP                          | - A registry of Carbon projects and initiatives is functional  
- An interim national fund/mechanisms for Carbon finances’ management is in place  
- The procedures for environmental and social assessment are in place |
| 3. A comprehensive MRV system for REDD is built and operational                 | DIAF, CN-REDD, FAO, WB, UNEP                                               | - A MRV system for GHGs emissions (deforestation/ degradation) is operational and managed at the country level  
- A MRV system on social, environmental, governance and economic dimensions is in place  
- The dual MRV system is adopted by the stakeholders of REDD |

- Such a result framework for REDD+ readiness process implies, among others, the following key objectives with close links to SESA:
  - Support alternatives for rural and urban population in the area of agriculture, forests, energy and employment.
  - Establish an institutional mechanism to redistribute external resources (investment and compensation policies).
  - Adapt the national legal framework (land tenure, forestry policies, etc.).
  - Institutional capacity strengthening.
  - Large outreach on alternatives and the REDD+ mechanism.
SESA: where we are

- Preliminary ToRs included in R-PP (January/March, 2010)

- ToRs revised and improved under the leadership of the Government (GEEC, DSE), in partnership with civil society/GTCR, FCPF/World Bank, UNEP/UN-REDD and with facilitation by National Coordination

- Improvement of the global context to implement the core analytical work of the SESA (IEC, consultations, fund, linked studies...
SESA: how it will be carried out

- Two key dynamics to SESA:
  - General mobilisation, coordination, consultations and capacity building
  - Core analytical work (by a consulting firm)

- An «Overseeing Committee» to be established, with representatives from government, civil society and private sector and facilitation by NC-REDD
  - Completing ToRs
  - Supervising the core analytical work (meetings twice a month during the study)

- A consultancy team to be hired. Study for 4-5 months in close relation with OC

- A top-level supervision and progressive mobilisation with National REDD Committee and Interministerial Committee
Potential corpus of programmes as a preliminary illustration of the future national REDD+ strategy

Volet Transversal: 4 programmes prioritaires, facteurs clés de succès

1. Développement et mise à jour stratégie REDD+, y.c. politique d'utilisation du territoire (zoning)
2. Réforme légale et institutionnelle d’envergure
3. Mise en place et animation d’un processus participatif (y.c. anchor communautaire)
4. Système national MRV (mesure, rapport, vérification) indépendant, efficace et transparent
5. Mécanisme national transparent de partage des revenus et mise en place de systèmes de paiement efficaces

Coordination nationale et mécanisme de pilotage d’ensemble

Volet I: Gestion, exploitation durable et accroissement du patrimoine forestier, sous la responsabilité du MECNT

5. Gestion des activités dans les “Forêts de production permanente” autour de l’exploitation industrielle/artisanale durables et lutte contre l’exploitation illégale
6. Gestion, valorisation et accroissement des “Forêts classées” (y.c. préservation de la biodiversité et développement de PPP)
7. Afforestation et reforestation pour la restauration de forêts dégradées/déforêstées ou l’afforestation de zones marginales (puits de carbone)
8. Ciblage et transfert de gestion de “Forêts protégées” aux communautés locales, (y.c. micro-zonage participatif)

Volet II: Développement accéléré d’une agriculture performante en milieu rural-forestier, en coordination entre MECNT et Min. Agriculture

9. Hausse de productivité et sédentarisation des agric. viviers autour de programmes sociaux d’envergure
10. Hausse des rendements et augmentation de la valeur ajoutée pour l’agriculture commerciale des petits exploitants, autour de l’agréation et des techniques à faible impact sur les forêts (y.c. agroforêsterie)
11. Dév. maîtrisé de l’agriculture intensive, par la réhabilitation des anciennes plantations et nouvelles plantations en savane
12. Développement socio-économique rural et urbain intégré – programme d’impulsion ciblé pour la création d’activités génératrices de revenus alternatifs

Volet III: Limitation de l’impact de la croissance urbaine et des secteurs industriels sur la Forêt, autour d’une forte coordination interministérielle

12. Réduction de la demande de bois de chauffe et augmentation de l’approvisionnement par des sources afforestation/reforestation durables, dans le cadre d’une stratégie énergétique nationale cohérente
13. Limitation des impacts direct et indirect des secteurs extractifs et industriels sur la Forêt, en particulier mines et hydrocarbures

1. PPP: partenariat public-privé (e.g., concessions) avec des investisseurs nationaux et internationaux
2. HVA: Haute valeur ajoutée e.g., arboriculture frutière + Haute productivité e.g., céréales
3. AGR: activités génératrices de revenus
SESA and the national strategy
General view

Building process for the REDD+ 2030 National Strategy

National and international intelligence on various REDD issues
Continuously upgrading knowledge and understanding, updating studies

National studies and international benchmarks on key analytical determinants of REDD+ strategy

Study on drivers and actors of DD in DRC (R-PP C.2a)
Exploratory study on REDD+ potential in DRC (R-PP C.2b)
Experience analysis of options to DD (R-PP C.2a)
Social and environmental impact assessment for REDD (R-PP C.2d)
National analysis of current sector-based programmes (R-PP C.2b)
Study on the implementation framework and revenue sharing (R-PP C.2c)

Participative approach and continuous consultations

Building process for the REDD+ 2030 National Strategy

30/06/2010: Preliminary Strategy
01/07/2012: Final completion stage
31/12/2012: REDD 2030 Strategy

Programme of expérimentations Section 1 – sector-based pilot projects
Numerous projects spread over the national territory, represented in each of DRC’s 11 provinces, experimenting different aspects of the various programmes suggested in the three sector-based sections emphasised by the preliminary study on REDD+ potential for DRC

Programme of expérimentations Section 2 – geographically-integrated pilot projects
Several integrated projects in a given area (province/territory, sector...) distributed across DRC’s four ecosystems of forests, experimenting dynamics between the various suggested programmes from the four sections of the preliminary study on REDD+ potential for DRC
SESA and the national strategy
Illustration of interconnexions (1/2)

1. Study on drivers and actors of DD in DRC (R-PP C.2a)
2. Exploratory study on REDD+ potential in DRC (R-PP C.2b)
3. Experience analysis of options to DD (R-PP C.2a)
4. National analysis of current sector-based programmes (C.2b)
5. Implementation framework and revenue sharing (C.2c)

Consultations Overseeing Committee

SESA Core Analytical Work

Consultations Implementation ESMF

Towards National REDD+ Strategy

Ex: allow SESA to identify the key variables to be monitored, serving as a base for socio-environmental MRV

Ex: allow SESA to determine risks associated to different strategy components and influence decisions on use of REDD+ revenues (resources should be allocated to mitigation of negative impacts, as well as optimization of positive impacts)

Progressive analysis of REDD+ options and programmation

Implementation of legal and institutional framework
SESA and the national strategy
Illustration of interconnexions (2/2)

Thematic Coordination Groups

- TCG Conservation and Protected Areas
- TCG Community Based Natural Forest Resources Management
- TCG REDD+ and Indigenous People Development Strategy
- TCG Harmonising and Securing Land Rights for REDD+
  ... etc.

Consultations
Overseeing Committee

SESA
Core Analytical Work

Consultations
Implementation
ESMF

Pilot Projects

- Integrated Project EcoMakala Virunga
- Integrated Project Ituri Mambasa
- Sector-based Project Community-based PA Tayna
- Ibi Bateke Agroforestry and Bio Carbon Project
  ... etc.

Ex: SESA (and safeguards) will influence the design and implementation of future REDD+ projects in DRC.

Ex: Pilot REDD+ would be subject to simplified social environmental impact assessments (baseline/toolbox)
SESA: approach, milestones and deliverables of the core analytical work

Five key areas of study

- Sector-based development policies
- Institutional enabling framework
- Legal and financial enabling environment
- Pilot projects and programmes
- Communication and outreach

Socio environmental evolution in business as usual scenario

Assess initial socio-environmental situation regarding REDD+

Assess the adequacy of preliminary REDD+ strategy given socio environmental conditions

Screen REDD+ options against direct and indirect socio environmental risks and potential impacts

Suggest enhancements for options and overall strategy

Verify conformity with overall safeguard policies

Suggest a plan for institutional strengthening to improve the management of the REDD+ process

Beginning  | Month 1  | Month 2  | Month 3  | Month 4  | Month 5
---|---|---|---|---|---
Finalisation of the work plan  | Preliminary report, national workshop  |  |  |  | Final report

Deliverables

- Reports from meetings with OC and national workshops
- Public consultations reports
- General document/final report, including Environment and Social Management Framework, with safeguard policy frameworks (IPPF, EMF, etc.), budget and execution planning
Roles and responsibilities of partners and institutions of the Overseeing Committee

- National REDD Coordination (MECNT)
  - Facilitation and leadership (consultancy contracts, organization of validation, workshops, etc.)

- Division des Services Environnementaux (MECNT)
  - Establish the institutional framework to valuate environmental services in DRC
  - Maintain the registry of REDD+ projects and initiatives

- Groupe d’Étude Environnementale du Congo (GEEC) / Agence Nationale de l’Environnement
  - Validates all EIA carried out in the country
  - Supervises the implementation of management frameworks

- Civil society (GTCR already created a working group on SESA and co-benefits)
  - Active participation in the preparation of ToRs
  - Participate in the debates and guidelines of the Overseeing Committee
  - Actively monitor the implementation of the ESMF
Thank you very much!

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